

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stefan Berndt
Appl. No.: 09/997,591
Conf. No.: 6228
Filed: November 28, 2001
Title: UNIT FOR MANAGING DATA STORED IN A DATA PROCESSING
DEVICE
Art Unit: 2166
Examiner: Isaac M. Woo
Docket No.: 112740-357

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited on the attached PTO-1449 form be made during the course of examination of the above-identified application for United States patent. Pursuant to 37 C.F.R. 1.98, copies of all foreign patent documents and non-patent documents are enclosed.


The Commissioner is hereby authorized to charge deposit account 02-1818 for any fees which are due and owing.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL BOYD & LLOYD LLC

BY


Peter Zura

Reg. No. 48,196

Customer No. 29177

Dated: August 18, 2006

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09997591
Filing Date	2001-11-28
First Named Inventor	Stefan Berndt
Art Unit	2166
Examiner Name	Isaac M. Woo
Attorney Docket Number	112740-357

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5297193		1994-03-22	Bouix et al.	
	2	6138121		2000-10-24	Costa et al.	
	3	6351744		2002-02-26	Landresse	
	4	6393419		2002-05-21	Novak et al.	
	5	6671757		2003-12-30	Multer et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

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FOREIGN PATENT DOCUMENTS

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Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	WO00/46698	WO		2000-08-10	Telefonaktiebolaget LM Ericsson		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	Shu-Shin Su Chen et al., "The design and implementation of a full fledged multiple DBMS", Data Engineering 1995, Proceedings of the eleventh intern. Conference on Taipei, Taiwan 6-10 March 1995, Los Alamitos, CA. USA, IEEE, Comput. Soc. 6 March 1995, pages 67-68, ISBN 0-8186-6910-1	<input type="checkbox"/>
	2	Carey, M. J., et al. "Data Access Interoperability in the IBM Database Family" IEEE Bulletin of the Technical Committee on Data Engineering, Bd. 21, Nr. 3, 3 September 1998, pages 1-8	<input type="checkbox"/>
	3	Gupta P. et al., "DataJoiner: a practical approach to multi-database access" Parallel and distributed information Systems, 1994, Proceedings of the third intern. Conference on Austin, Tx., USA 28-30 September 1994, Los Alamitos, CA USA IEEE Comput. Soc. 28 09 2006, page 264, ISSN: 0-8186-6400-2	<input type="checkbox"/>
	4	Franklin M. et al., "Transactional client server cache consistency alternatives and performance" ACM transactions on database systems association for computing machinery, New York, US Bd. 22 Nr. 3, September 1997, pages 315-363, ISSN: 0362-5915	<input type="checkbox"/>
	5	Lewandowski S.M. "Frameworks for component based client/server computing" ACM Computing Surveys, ACM New York, US Bd. 30, Nr. 1, March 1998, pages 3-27, ISSN 0360-0300	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Peter Zura/	Date (YYYY-MM-DD)	2006-08-18
Name/Print	Peter Zura	Registration Number	48196

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**